

**UTILITY PATENT APPLICATION TRANSMITTAL**

**ADDRESS TO:** Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of:

**INVENTOR(S):** Peter R. BADOVINATZ, Chun-Shi CHANG, Steven E. FROEHLICH and  
Jeffrey S. LUCASH

**TITLE:** *POLICY DRIVEN AUTOMATION - SPECIFYING EQUIVALENT RESOURCES*

**ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76**

**ASSIGNEE NAME:** INTERNATIONAL BUSINESS MACHINES CORPORATION

**ASSIGNEE RESIDENCE:** ARMONK, NEW YORK


In connection with this application, the following are enclosed:

- XX Fee Transmittal Form
- 53 Pages of Specification, Claims and Abstract
- 25 Claims
- 11 Sheets of Drawings (FIGS. 1-14)
- XX Declaration and Power of Attorney
- XX Information Disclosure Statement and Form PTO-1449
- XX Assignment recordation sheet with assignment to: INTERNATIONAL BUSINESS MACHINES CORPORATION
- XX Please direct all correspondence to **Customer Number 23334**.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN  
BONGINI & BIANCO P.L.  
One Boca Commerce Center  
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(561) 989-9811  
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BY:

  
Jose Gutman  
Reg. No. 35,171



**FEE TRANSMITTAL**

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**TITLE:** *POLICY DRIVEN AUTOMATION - SPECIFYING EQUIVALENT RESOURCES*

The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Number Filed		Number Extra	Rate Large (Small)	Basic Fee \$750 (\$375)
Total Claims	25	20	5	\$18 (\$9)	90
Independent Claims	6	3	3	\$84 (\$42)	252
Multiple Dependent Claims				\$280 (\$140)	0
Assignment Recording Fee				\$40	40
				<b>TOTAL FEE:</b>	<b>\$ 1,132</b>


XX The Commissioner is hereby authorized to charge Deposit Account No. 09-0463 in the amount of \$1132. A duplicate copy of this sheet is enclosed.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-0463.

Respectfully submitted,

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Atty Docket: POU920030133US1  
Peter R. BADOVINATZ *et al.* : APPLICATIONS BRANCH  
Serial No. (not yet assigned) :  
Filed: HEREWITH :

For: *POLICY DRIVEN AUTOMATION - SPECIFYING EQUIVALENT RESOURCES*

**CERTIFICATE OF EXPRESS MAIL MAILING**

"Express Mail" Mailing Label No. **EV343426350US**

Date of Deposit: **September 30, 2003**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

I hereby certify that

X Application Transmittal  
X Fee Transmittal Form  
X Specification, Claims, Abstract  
X 1 set of 11 sheets of drawings  
X Declaration and Power of Attorney  
X Information Disclosure Statement and Form PTO-1449  
X Assignment Recordation Sheet with Assignment  
X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

9/30/03  
Date of Deposit

Karen Taragowski  
Name of person mailing papers

Karen Taragowski  
Signature